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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE



PATENT APPLICATION

Girsh Blumberg

CASE 5

Serial No. 10/824245

Group Art Unit 2874

Filed April 14, 2004

Examiner

Title Plasmon-Enhanced Tapered Optical Fibers

COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

SIR:

Enclosed is a **Preliminary Amendment Under 37 C.F.R. §§ 1.115, 1.121** in the above-identified application.

**NO ADDITIONAL FEE REQUIRED**

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

John F. McCabe, Attorney  
Reg. No. 42854  
908-582-6866.

Date: Nov 9, 2004

Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030

Date of Deposit Nov. 10, 2004

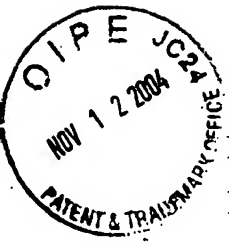
I hereby certify that this correspondence is being deposited with the United States Postal Service First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

Catherine F. Dugan

Printed name of person mailing paper

Signature of person mailing paper

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE



**Patent Application**

**Inventors:** Girsh Blumberg

**Case No.:** 5

**Serial No.:** 10/824,245

**Group Art Unit:**

**Filing Date:** April 14, 2004

**Examiner:** Unknown

**Title:** PLASMON-ENHANCED TAPERED OPTICAL FIBERS

10 **Mail Stop: Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

15 **Sir:**

**PRELIMINARY AMENDMENT UNDER 37 C.F.R. §§ 1.115, 1.121**

Applicants request entry of this amendment prior to the first Office Action.

- a.     Introductory Comments**  
Herein, Figure 4 is amended.